SEC Form 5

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# FORM 5

- 4 Transactions Departed

Form 3 Holdings Reported.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

	s Reported.		of Section So(n) of the investment Company Act of 1940						
1. Name and Address Isaacman Jarec	of Reporting Person *		2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Shift4 Payments, Inc.</u> [FOUR ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner					
(Last) (First) (Middle) 2202 N. IRVING ST		(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2021	X         Director         X         10% Owner           X         Officer (give title below)         Other (specify below)           Chairman & CEO					
(Street) ALLENTOWN (City)	PA (State)	18109 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	<ol> <li>Individual or Joint/Group Filing (Check Applicable Line)</li> <li>X Form filed by One Reporting Person</li> <li>Form filed by More than One Reporting Person</li> </ol>					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
	(Month/Day/Year)			Amount	(A) or (D)	Price	Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
Class C Common Stock	12/01/2021		G	96,117	D	\$0.00	4,529,229	I	See footnote <sup>(1)</sup>
Class C Common Stock	12/23/2021		G	171,822	D	\$0.00	0(2)	D	
Class A Common Stock	12/23/2021		G	42,958	D	\$0.00	3,442	D	
Class C Common Stock	12/23/2021		G	85,911	A	\$0.00	85,911	I	By Daughter <sup>(3)</sup>
Class C Common Stock	12/23/2021		G	85,911	A	\$0.00	85,911	I	By Daughter <sup>(4)</sup>

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	e (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)		

### Explanation of Responses:

1. Securities held of record by Rook Holdings, Inc. ("Rook"). Mr. Isaacman is the sole stockholder of Rook and therefore may be deemed to have beneficial ownership with respect to such securities.

2. On December 23, 2021, Rook transferred 171,322 shares of Class C Common Stock to the reporting person.

3. Held by Jared Isaacman C/F Liv A. Isaacman UTMA. The reporting person disclaims beneficial ownership of these shares.

4. Held by Jared Isaacman C/F Mila T. Isaacman UTMA. The reporting person disclaims beneficial ownership of these shares.

Remarks:

/s/ Jordan Frankel, Attorney-in-	02/14/2022			
Fact for Jared Isaacman	02/14/2022			

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.